

To be filled in by the broker-dealer company											
Registration number				Activity code				Tax ID number			
Business name:											
Registered office:											

STATEMENT OF SHAREHOLDERS EQUITY OF A BROKER-DEALER COMPANY

For the period from _____ to _____

- in 000 RSD

No.	ITEM	Capital components					
		ADP	Core capital (account cluster 40)	ADP	Subscribed capital unpaid (account cluster 41)	ADP	Reserves (account cluster 42 and 43)
1	2		3		4		5
1	Opening balance of the previous year as at 01. January						
	a) debit balance	4001		4023		4045	
	b) credit balance	4002		4024		4046	
2	Effects of the first application of new IFRS						
	a) debit balance	4003		4025		4047	
	b) credit balance	4004		4026		4048	
3	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4005		4027		4049	
	b) Corrections in credit balance	4006		4028		4050	
4	Corrected opening balance of the previous year as at 01. January						
	a) corrected debit balance (1a + 2a - 26 + 3a - 3b) ≥ 0	4007		4029		4051	
	b) corrected credit balance (16 - 2a + 26 - 3a + 3b) ≥ 0	4008		4030		4052	
5	Changes in the previous year _____						
	a) turnover in debit balance	4009		4031		4053	
	b) turnover in credit balance	4010		4032		4054	
6	Balance at the end of the previous year 31. December						
	a) debit balance (4a + 5a - 56) ≥ 0	4011		4033		4055	
	b) credit balance (46 - 5a + 56) ≥ 0	4012		4034		4056	
7	Effects of the first application of new IFRS						
	a) debit balance	4013		4035		4057	
	b) credit balance	4014		4036		4058	
8	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4015		4037		4059	
	b) Corrections in credit balance	4016		4038		4060	
9	Corrected opening balance of the current year as at 01. January						
	a) corrected debit balance (6a + 7a - 76 + 8a - 86) ≥ 0	4017		4039		4061	
	b) corrected credit balance (66 - 7a + 76 - 8a + 86) ≥ 0	4018		4040		4062	
10	Changes in the current year _____						
	a) turnover in debit balance	4019		4041		4063	
	b) turnover in credit balance	4020		4042		4064	
11	Balance at the end of the current year 31. December						
	a) debit balance (9a + 10a - 106) ≥ 0	4021		4043		4065	
	b) credit balance (96 - 10a + 106) ≥ 0	4022		4044		4066	

No.	ITEM	Capital components					
		ADP	Loss (account cluster 46)	ADP	Own shares repurchased (account 026 и 246)	АОП	Retained earnings (account cluster 45)
1	2		6		7		8
1	Opening balance of the previous year as at 01. January						
	a) debit balance	4067		4089		4111	
	b) credit balance	4068		4090		4112	
2	Effects of the first application of new IFRS						
	a) debit balance	4069		4091		4113	
	b) credit balance	4070		4092		4114	
3	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4071		4093		4115	
	b) Corrections in credit balance	4072		4094		4116	
4	Corrected opening balance of the previous year as at 01. January						
	a) corrected debit balance (1a + 2a - 2б + 3a - 3б) ≥ 0	4073		4095		4117	
	б) corrected credit balance (1б - 2a + 2б - 3a + 3б) ≥ 0	4074		4096		4118	
5	Changes in the previous year _____						
	a) turnover in debit balance	4075		4097		4119	
	b) turnover in credit balance	4076		4098		4120	
6	Balance at the end of the previous year 31. December						
	a) debit balance (4a + 5a - 5б) ≥ 0	4077		4099		4121	
	б) credit balance (4б - 5a + 5б) ≥ 0	4078		4100		4122	
7	Effects of the first application of new IFRS						
	a) debit balance	4079		4101		4123	
	b) credit balance	4080		4102		4124	
8	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4081		4103		4125	
	b) Corrections in credit balance	4082		4104		4126	
9	Corrected opening balance of the current year as at 01. January						
	a) corrected debit balance (6a + 7a - 7б + 8a - 8б) ≥ 0	4083		4105		4127	
	б) corrected credit balance (6б - 7a + 7б - 8a + 8б) ≥ 0	4084		4106		4128	
10	Changes in the current year _____						
	a) turnover in debit balance	4085		4107		4129	
	b) turnover in credit balance	4086		4108		4130	
11	Balance at the end of the current year 31. December						
	a) debit balance (9a + 10a - 10б) ≥ 0 _____	4087		4109		4131	
	б) credit balance (9б - 10a + 10б) ≥ 0	4088		4110		4132	

No.	ITEM	Other result components					
		ADP	Revaluation reserves (account 440)	ADP	credit balance Unrealized profit from securities (account cluster 44 except 440)	ADP	debit balance Unrealized loss from securities (account cluster 44 except 440)
1	2		9		10		11
1	Opening balance of the previous year as at 01. January						
	a) debit balance	4133		4155		4177	
	b) credit balance	4134		4156		4178	
2	Effects of the first application of new IFRS						
	a) debit balance	4135		4157		4179	
	b) credit balance	4136		4158		4180	
3	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4137		4159		4181	
	b) Corrections in credit balance	4138		4160		4182	
4	Corrected opening balance of the previous year as at 01. January						
	a) corrected debit balance (1a + 2a - 2b + 3a - 3b) ≥ 0	4139		4161		4183	
	b) corrected credit balance (1b - 2a + 2b - 3a + 3b) ≥ 0	4140		4162		4184	
5	Changes in the previous year _____						
	a) turnover in debit balance	4141		4163		4185	
	b) turnover in credit balance	4142		4164		4186	
6	Balance at the end of the previous year 31. December						
	a) debit balance (4a + 5a - 5b) ≥ 0	4143		4165		4187	
	b) credit balance (4b - 5a + 5b) ≥ 0	4144		4166		4188	
7	Effects of the first application of new IFRS						
	a) debit balance	4145		4167		4189	
	b) credit balance	4146		4168		4190	
8	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4147		4169		4191	
	b) Corrections in credit balance	4148		4170		4192	
9	Corrected opening balance of the current year as at 01. January						
	a) corrected debit balance (6a + 7a - 7b + 8a - 8b) ≥ 0	4149		4171		4193	
	b) corrected credit balance (6b - 7a + 7b - 8a + 8b) ≥ 0	4150		4172		4194	
10	Changes in the current year _____						
	a) turnover in debit balance	4151		4173		4195	
	b) turnover in credit balance	4152		4174		4196	
11	Balance at the end of the current year 31. December						
	a) debit balance (9a + 10a - 10b) ≥ 0	4153		4175		4197	
	b) credit balance (9b - 10a + 10b) ≥ 0	4154		4176		4198	

No.	ITEM	Other result components		ADP	Total capital [$\sum(\text{row b col. 3 to col. 12}) - \sum(\text{row a col. 3 to col. 12}) \geq 0$]	ADP	Loss over capital [$\sum(\text{row a col. 3 to col. 12}) - \sum(\text{row b col. 3 to col. 12}) \geq 0$]
		ADP	Participation without control rights				
1	2		12		13		14
1	Opening balance of the previous year as at 01. January						
	a) debit balance	4199		4221		4243	
	b) credit balance	4200		4222		4244	
2	Effects of the first application of new IFRS						
	a) debit balance	4201		4223		4245	
	b) credit balance	4202		4224		4246	
3	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4203		4225		4247	
	b) Corrections in credit balance	4204		4226		4248	
4	Corrected opening balance of the previous year as at 01. January						
	a) corrected debit balance ($1a + 2a - 26 + 3a - 3b \geq 0$)	4205		4227		4249	
	b) corrected credit balance ($16 - 2a + 26 - 3a + 3b \geq 0$)	4206		4228		4250	
5	Changes in the previous year _____						
	a) turnover in debit balance	4207		4229		4251	
	b) turnover in credit balance	4208		4230		4252	
6	Balance at the end of the previous year 31. December						
	a) debit balance ($4a + 5a - 56 \geq 0$)	4209		4231		4253	
	b) credit balance ($46 - 5a + 56 \geq 0$)	4210		4232		4254	
7	Effects of the first application of new IFRS						
	a) debit balance	4211		4233		4255	
	b) credit balance	4212		4234		4256	
8	Correction of material mistakes and changes in accounting policies						
	a) Corrections in debit balance	4213		4235		4257	
	b) Corrections in credit balance	4214		4236		4258	
9	Corrected opening balance of the current year as at 01. January						
	a) corrected debit balance ($6a + 7a - 76 + 8a - 86 \geq 0$)	4215		4237		4259	
	b) corrected credit balance ($66 - 7a + 76 - 8a + 86 \geq 0$)	4216		4238		4260	
10	Changes in the current year _____						
	a) turnover in debit balance	4217		4239		4261	
	b) turnover in credit balance	4218		4240		4262	
11	Balance at the end of the current year 31. December						
	a) debit balance ($9a + 10a - 106 \geq 0$)	4219		4241		4263	
	b) credit balance ($96 - 10a + 106 \geq 0$)	4220		4242		4264	

In _____

Legal representative

S.P. _____

on _____ 20_____