

To be filled in by the broker-dealer company

| | | | |
|----------------------------|----------------------|----------------------|--|
| | | | |
| Registration number | Activity code | Tax ID number | |
| Business name: | | | |
| Registered office: | | | |

**BROKER-DEALER COMPANY
STATISTICAL REPORT
For the year 20__**

I. GENERAL INFORMATION

| DESCRIPTION | ADP | Current year | Previous year |
|--|------|--------------|---------------|
| 1 | 2 | 3 | 4 |
| 1. The number of months in operation (from 1 to 12) | 9001 | | |
| 2. The number of foreign (natural or legal) persons participating in capital | 9002 | | |
| 3. The number of foreign (natural or legal) persons participating in capital with 10% or more than 10% | 9003 | | |
| 4. The average number of employees based on the balance at the end of each month (integral number) | 9004 | | |

II. CHANGES IN INTANGIBLE AND TANGIBLE ASSETS

- in 000 RSD -

| Account group, account | DESCRIPTION | AOP | Gross | Value adjustment | Net (col. 4 - 5) |
|------------------------|--|------|-------|------------------|------------------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 00 | 1. Intangible assets | | | | |
| | 1.1. Balance at the beginning of the year | 9005 | | | |
| | 1.2. Increases (acquisitions) during the year | 9006 | | | |
| | 1.3. Decreases during the year | 9007 | | | |
| | 1.4. Depreciation and impairment | 9008 | | | |
| | 1.5. Restatement of capital | 9009 | | | |
| | 1.6. Balance at the end of the year (9005+9006-9007+9008+9009) | 9010 | | | |
| 01 | 2. Tangible assets | | | | |
| | 2.1. Balance at the beginning of the year | 9011 | | | |
| | 2.2. Increases (acquisitions) during the year | 9012 | | | |
| | 2.3. Decreases during the year | 9013 | | | |
| | 2.4. Depreciation and impairment | 9014 | | | |
| | 2.5. Restatement of capital | 9015 | | | |
| | 2.6. Balance at the end of the year (9011+9012-9013+9014+9015) | 9016 | | | |

III. CORE CAPITAL STRUCTURE

- in 000 RSD -

| Group of accounts, account | DESCRIPTION | AOP | | |
|----------------------------|-------------------------|------|---|---|
| 1 | 2 | 3 | 4 | 5 |
| 400 | 1. Share capital | 9017 | | |
| | where: foreign capital | 9018 | | |

IV. SHARE CAPITAL STRUCTURE

- number of shares as a whole number -

- in 000 RSD -

| Group of accounts, account | DESCRIPTION | AOP | Current year | Previous year |
|----------------------------|---|------|--------------|---------------|
| 1 | 2 | 3 | 4 | 5 |
| | 1. Common shares | | | |
| | 1.1 The number of ordinary shares | 9019 | | |
| part 400 | 1.2 Nominal value of ordinary shares - total | 9020 | | |
| | 2. Preference shares | | | |
| | 2.1 The number of preference shares | 9021 | | |
| part 400 | 2.2 The nominal value of preference shares - total | 9022 | | |
| 400 | 3. Total - nominal value of shares (9020+9022= 9017) | 9023 | | |

V. RECEIVABLES AND LIABILITIES

- in 000 RSD -

| Group of accounts, account | DESCRIPTION | AOP | Current year | Previous year |
|----------------------------|--|------|--------------|---------------|
| 1 | 2 | 3 | 4 | 5 |
| 20, 21 | 1. Receivables fees and commissions from performance of investment and ancillary services (balance at the end of the year) | 9024 | | |
| part 237 | 2. Receivables during the year from insurance companies for compensation of damages (debit turnover without opening balance) | 9025 | | |
| 26 | 3. VAT - previous tax (annual tax per tax applications) | 9026 | | |
| 53 | 4. Operating liabilities (credit turnover without opening balance) | 9027 | | |
| 534 | 5. Liabilities for salaries (credit turnover without opening balance) | 9028 | | |
| 535 | 6. Liabilities to employees (credit turnover without opening balance) | 9029 | | |
| 533 | 7. Liabilities for dividends and interests (credit turnover without opening balance) | 9030 | | |

- in 000 RSD -

| Group of accounts, account | DESCRIPTION | AOP | Current year | Previous year |
|----------------------------|---|------|--------------|---------------|
| 1 | 2 | 3 | 4 | 5 |
| 537 | 8. Liabilities to individuals for contracted fees (credit turnover without opening balance) | 9031 | | |
| 55 | 9. VAT liabilities (annual tax per tax applications) | 9032 | | |
| | 10. Control sum (from 9024 to 9032) | 9033 | | |

VI. OTHER COSTS AND EXPENSES

- in 000 RSD -

| Group of accounts, account | DESCRIPTION | AOP | Current year | Previous year |
|----------------------------|---|------|--------------|---------------|
| 1 | 2 | 3 | 4 | 5 |
| part 659 | 1. Fuel and energy costs | 9034 | | |
| 640 | 2. Costs of salaries and fringe benefits (gross) | 9035 | | |
| 641 | 3. Costs of taxes and contributions on salaries and fringe benefits charged to employer | 9036 | | |
| 642 | 4. Gross costs of remunerations to individuals according to contracts | 9037 | | |
| 643 | 5. Costs of remuneration to management board members | 9038 | | |
| 649 | 6. Other personal expenses and remunerations | 9039 | | |
| 655 | 7. Rental costs | 9040 | | |
| part 669 | 8. Costs of researching activities | 9041 | | |
| 651, 652 | 9. Depreciation and provisions | 9042 | | |
| 662 | 10. Costs of insurance premiums | 9043 | | |
| 663 | 11. Costs of payment operations | 9044 | | |
| 664 | 12. Costs of membership fees | 9045 | | |
| 665 | 13. Tax costs | 9046 | | |
| 666 | 14. Contributions costs | 9047 | | |
| Part 669 | 15. Expense incurred for payment to charity, scientific and religious purposes, sports, cultural, health, educational and environmental causes. | 9048 | | |
| 669 | 16. Other non-mentioned expenses | 9049 | | |

VII. OTHER INCOME

- in 000 RSD -

| Group of accounts, account | DESCRIPTION | AOP | Current year | Previous year |
|----------------------------|---|------|--------------|---------------|
| 1 | 2 | 3 | 3 | 3 |
| part 75 | 1. Income from tax returns | 9051 | | |
| part 75 | 2. Income from donations | 9052 | | |
| part 75 | 3. Land rental fees income | 9053 | | |
| part 75 | 4. Membership fees | 9054 | | |
| | 5. Control sum (from 9051 to 9054) | 9055 | | |

| | |
|------------------|----------------------|
| In _____ | Legal representative |
| on _____ 20_____ | S.P. _____ |

This form is mandatory pursuant to the Rulebook on the chart of accounts and financial statements for broker-dealer companies (Official Gazette of RS, 139/2020 and 75/2021).